



PATENTS
Attorney Docket No. CF/030

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT APPLICATION

Applicants : Howard W. Lutnick et al.
Application No. : 10/015,738 Confirmation No. : 3474
Filed : December 12, 2001
For : METHODS AND SYSTEMS FOR TRADING OF
FUTURES CONTRACTS FOR INTANGIBLE
ASSETS
Group Art Unit 2161
Examiner : Not Yet Assigned

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Hon. Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to 37 C.F.R. §§ 1.56 and 1.97, applicant
hereby makes the following documents of record in the above-
identified patent application:

U.S. Patent Documents

Wagner	Pat. No. 4,980,826	December 25, 1990
Mosler et al.	Pat. No. 6,304,858 B1	October 16, 2001

Copies of the aforementioned references, which are listed on the accompanying Form PTO-1449 (submitted in duplicate), are enclosed herewith. It is respectfully requested that these documents be (1) fully considered by the Patent and Trademark Office during examination of this application; and (2) printed on any patent which may issue on this application. Applicants request that a copy of Form PTO-1449, as considered and initialed by the Examiner, be returned with the next communication.

The information contained in this Supplemental Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application. In particular, these references were cited in the April 21, 2003 International Search Report in applicants' corresponding PCT international application PCT/US02/15366. A copy of that Search Report is enclosed herewith.

Pursuant to 37 C.F.R. § 1.97(e)(1), applicants hereby certify that the listed documents were cited in a communication from a foreign patent office not more than three months prior to the date of this Statement. Therefore, in accordance with 37 C.F.R. § 1.97(c)(1), submission of this Statement requires no fee. However, if for any reason a fee

is due, the Director is hereby authorized to charge payment of any fees required in connection with this Supplemental Information Disclosure Statement to Deposit Account No. 06-1075. A duplicate copy of this letter is transmitted herewith.

Consideration of the foregoing in relation to this patent application is respectfully requested.

Respectfully submitted,

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Signature of Person Signing



U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. CF/030	APPLICATION NO. 10/015,738
INFORMATION DISCLOSURE STATEMENT BY APPLICANT	APPLICANTS Howard W. Lutnick et al.	CONFIRMATION NO. 3474
	FILING DATE December 12, 2001	GROUP ART UNIT 2161

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	4,980,826	12/25/1990	Wagner	364	408	
	6,304,858 B1	10/16/2001	Mosler et al.	705	37	

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not conformance and not considered. Include copy of this form with next communication to applicant.